



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/475,962	12/30/1999	FRANK JOSEPH PENNISI, JR	9D-EC-19319	7121

7590 06/20/2003

John S. Beulick  
Armstrong Teasdale LLP  
One Metropolitan Square, Suite 2600  
St. Louis, MO 63102

EXAMINER

VAN DOREN, BETH

ART UNIT

PAPER NUMBER

3623

DATE MAILED: 06/20/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No.	Applicant(s)
	09/475,962	PENNISI, JR, FRANK JOSEPH
	Examiner Beth Van Doren	Art Unit 3623

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

1) Responsive to communication(s) filed on 17 April 2003.

2a) This action is **FINAL**.                    2b) This action is non-final.

3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

4) Claim(s) 1-48 is/are pending in the application.

4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.

5) Claim(s) \_\_\_\_\_ is/are allowed.

6) Claim(s) 1-48 is/are rejected.

7) Claim(s) \_\_\_\_\_ is/are objected to.

8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

9) The specification is objected to by the Examiner.

10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

11) The proposed drawing correction filed on \_\_\_\_\_ is: a) approved b) disapproved by the Examiner.

If approved, corrected drawings are required in reply to this Office action.

12) The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

a) All    b) Some \* c) None of:

1. Certified copies of the priority documents have been received.

2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.

3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).

a) The translation of the foreign language provisional application has been received.

15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

1) Notice of References Cited (PTO-892)                    4) Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_.

2) Notice of Draftsperson's Patent Drawing Review (PTO-948)                    5) Notice of Informal Patent Application (PTO-152)

3) Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_.

6) Other: \_\_\_\_\_

## **DETAILED ACTION**

1. The following is a Final office action in response to communications received on 04/17/03. Claims 2, 3, 6, 19, 22, 34, and 38 have been amended. Claims 1-48 are now pending in this application.

### ***Response to Amendment***

2. Applicant's amendment to claim 2 is sufficient to overcome the claim objections set forth in the previous office action.

3. Examiner withdraws the 35 USC § 112, second paragraph, rejection of claim 3 set forth in the previous office action.

4. Applicant's amendments and arguments with respect to claims 19 and 35 are sufficient to overcome the 35 USC § 112, second paragraph, rejections set forth in the previous office action.

5. Applicant's amendments to claims 6, 22, and 38 are sufficient to overcome the 35 USC § 112, second paragraph, rejections set forth in the previous office action.

### ***Response to Arguments***

6. Applicant's arguments with regard to the rejections based on Mowery et al. (U.S. 5,983,198) have been fully considered but they are not persuasive. In the remarks, Applicant argues that Mowery et al. does not teach or suggest (1) getting a respective zone maximum and a respective number of used slots for a specified period of time within the respective delivery zone, (2) that the delivery zone is a geographic area with an associated zip group including at least one zip code, as explained in page 7 and 8 of the specification, or (3) a delivery zone and the total slots the delivery vehicle can deliver.

In response to the Applicant's argument that Mowery et al. does not teach or suggest (1) a respective zone maximum and a respective number of used slots for a specified period of time within the respective delivery zone, Examiner respectfully disagrees and further asserts that Mowery et al. does teach and suggest a delivery zone and a zone maximum for each site receiving deliveries. Also recorded at each site are usage slots that reflect capacity levels for a specific period of time. See figures 4 and 5, column 2, lines 40-51, column 3, lines 51-54, column 4, lines 18-32, column 8, lines 24-29 and 61-67, and column 9, lines 1-14. Each delivery zone has a maximum above which a delivery cannot occur. The system tracks slot usage for a specific period of time with respect to this zone maximum and when the slot usage falls below the zone maximum, a delivery can occur. See at least figures 4 and 5 and column 9, lines 1-29.

In response to the Applicant's argument that Mowery et al. does not teach or suggest (2) that the delivery zone is a geographic area with an associated zip group including at least one zip code, as explained in page 7 and 8 of the specification, Examiner respectfully disagrees. It is noted that the feature upon which applicant relies (i.e., geographic area of the delivery zone) is not specifically recited in the rejected claims. Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993). Examiner points out that the independent claims do not specifically recite the delivery zone as a geographic area, but merely that a delivery zone has associated usage slots that hold a specific capacity and are monitored to determine if a respective order can be shipped. Therefore, Mowery et al. does teach the broadest reasonable interpretation of the term "delivery zone", as shown in at least figures 4 and 5, when it discusses a delivery zone, the capacity slots, and the monitoring of the capacity slots to

determine when an order can be shipped to a site. The term “zip group” is used in dependent claims. Examiner points out that the reference does disclose delivering materials to sites using delivery trucks associated with specific neighborhoods. A neighborhood is a group that would include at least one zip code. Therefore, the system considers zip groups and delivery zones when setting the truck delivery routes. See at least column 9, lines 1-29.

In response to the Applicant’s argument that Mowery et al. does not teach or suggest (3) a delivery zone and the total slots the delivery vehicle can deliver, Examiner again respectfully disagrees and points to at least figure 4 which discloses a delivery zone. Each delivery zone has a maximum above which a delivery cannot occur. The system tracks slot usage for a specific period of time with respect to this zone maximum of a site and when the slot usage falls below the zone maximum, a delivery can occur. Based on usage and the zone maximum, the system knows the total number of slots that can delivered by a delivery vehicle to each site. The system uses this knowledge along with information concerning truck availability and utilization to optimize the delivery schedule. See at least column 9, lines 1-29.

Therefore, the art rejections of the previous office action are maintained and reasserted below.

***Claim Rejections - 35 USC § 102***

7. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who

has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) do not apply to the examination of this application as the application being examined was not (1) filed on or after November 29, 2000, or (2) voluntarily published under 35 U.S.C. 122(b). Therefore, this application is examined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

8. Claims 1-2, 9-11, 17-18, 25-27, 33-34, and 41-43 are rejected under 35 U.S.C. 102(e) as being anticipated by Mowery et al. (U.S. 5,983,198).

9. As per claim 1, Mowery et al. teaches a method of tracking and predicting the capacity utilization of a goods delivery system, the system having at least one delivery zone, each delivery zone having capacity utilization matrix comprising a plurality of slots, the goods delivery system providing a respective first potential delivery date, a respective order, and the number of slots the respective order will fill, said method of tracking capacity utilization comprising the steps of:

getting the respective zone maximum and a respective number of used slots for a specified period of time within the respective delivery zone (See figures 4 and 5, column 2, lines 40-51, column 3, lines 51-54, column 4, lines 18-32, column 8, lines 24-29 and 61-67, and column 9, lines 1-14, which discuss defining a zone maximum for the deliveries at each location and the number of slots of the capacity used for a specified period of time, the slots defining levels within the delivery zone. Figure 4 specifically discloses the delivery zone and the slot levels);

determining whether the respective order can be shipped on the first potential ship date based on the number of available slots, wherein said respective number of available slots is equal to said respective zone maximum minus said respective number of used slots (See figures 4 and 5, column 2, lines 40-51, column 3, lines 51-54, column 8, lines 14-29 and 61-67, and column 9, lines 1-13 and 20-25, which discuss determining whether an order can be shipped on a potential ship date based on the available slots (levels) in the delivery zone. The availability of the capacity for the delivery is determined by the zone maximum ( $L_3$ ) minus the number of used slots ( $L_2$ ). See specifically figures 4 and 5);

returning a respective date that the respective order can be delivered (See column 9, lines 1-25, which discusses the processor determining the delivery schedule and returning schedule dates for a respective order (representing when to make the delivery) based on the delivery zone requirements); and

updating the respective capacity utilization matrix for the above specified period after the respective order has been included within said respective number of used slots (See figure 5, column 4, lines 12-45 and 56-61, column 5, lines 30-50, column 7, lines 15-33, and column 9, lines 1-13, wherein the central system is updated to reflect the scheduled delivery of the goods and the respective number of slots (levels) of capacity delivered and utilized in a period).

10. As per claim 2, Mowery et al. discloses the method wherein the step of updating the respective capacity utilization matrix further comprises the step of calculating the workload utilization and storing the result in a workload valve for each of said respective slots with the delivery zone (See figure 5, column 3, lines 35-50, column 4, lines 12-45 and 56-61, column 5, lines 30-35, 47-50, and 60-65, column 6, lines 1-13 and 20-36, column 7, lines 15-33, and

column 9, lines 1-13, wherein the central system runs analysis on the data to calculate the workload utilization of the plant and this determined value of workload and usage for a respective delivery zone is stored at the central system).

11. As per claim 9, Mowery et al. teaches the method further comprising the step of predicting the probability of a future respective used slot being full based on historical over capacity conditions (See figure 5, column 2, lines 40-51, column 3, lines 50-55, column 4, lines 1-3 and 33-45, column 5, lines 36-55 and 60-65, column 6, lines 1-13 and 18-37, column 8, lines 61-67, and column 9, lines 1-7, wherein the probability of future usage and slots (levels) being full is determined using historical data, such as over capacity condition. Capacity is outlined in figure 5).

12. As per claim 10, Mowery et al. teaches the method wherein the step of predicting the probability of a future respective used slot being full further comprises the steps of:

obtaining the workload values for a predetermined period of time (See figure 5, column 2, lines 40-51, column 3, lines 50-55, column 4, lines 1-3 and 33-45, column 5, lines 36-55 and 60-65, column 6, lines 1-13 and 18-37, column 8, lines 61-67, and column 9, lines 1-7, wherein workload data is stored and obtained for a predetermined period of time); and

determining the probability that the next used time slot will meet an over capacity condition using a distribution function (See figure 5, column 2, lines 53-67, column 5, lines 36-55 and 60-65, and column 6, lines 1-13 and 18-37, wherein forecasting is done to determine the probability that the next used slot will meet an over capacity condition. A distribution function is used to look at the data);

wherein said over capacity condition is defined as the state when the workload value is greater than or equal to 100 percent (See figure 5, wherein the over capacity condition is defined as a workload value over 100 percent).

13. As per claim 11, Mowery et al. teaches the method further comprising the step of predicting whether the trend line of the capacity utilization is changing (See at least figure 5 and column 5, lines 35-55 and 60-55, and column 6, lines 18-36, which discuss predicting whether the trend line of the capacity usage of a plant is changing looking at historical usage data).

14. As per claims 17-18 and 25-27, claims 17-18 and 25-27 are computer process implementations of the method of claims 1-2 and 9-11, respectively. Therefore, claims 17-18 and 25-27 are rejected using the same art relied upon in the rejection of claims 1-2 and 9-11, respectively.

15. As per claims 33-34, claims 33-34 are method claims directed towards the method recited in claims 1-2. Therefore, claims 33-34 are rejected using the same art relied upon in the rejection of claims 1-2, respectively.

16. As per claim 41, Mowery et al. teaches a method of predicting capacity utilization of a goods delivery system, the system having at least one delivery zone, each delivery zone having a capacity utilization matrix comprising a plurality of slots each slot having an associated workload value, said method of predicting the capacity utilization comprising the steps of:

predicting the probability of a future respective used slot being full based on historical over capacity conditions (See figure 5, column 2, lines 40-51, column 3, lines 50-55, column 4, lines 1-3 and 33-45, column 5, lines 36-55 and 60-65, column 6, lines 1-13 and 18-37, column 8, lines 61-67, and column 9, lines 1-7, wherein workload data is stored and obtained for a

predetermined period of time, this historical data used to predict and forecast about a future slot's usage and over capacity conditions).

predicting whether the trend line if the capacity utilization is changing (See column 8, lines 30-40, which discusses looking at a trend line to predict and forecast if the capacity usage is changing. See also figure 5 and column 2, lines 53-67, column 5, lines 36-55 and 60-65, and column 6, lines 1-13 and 18-37, wherein forecasting is done to determine the trend and identify changes).

17. As per claims 42-43, claims 42-43 are method claims directed towards the method recited in claims 10-11. Therefore, claims 42-43 are rejected using the same art relied upon in the rejection of claims 10-11, respectively.

#### ***Claim Rejections - 35 USC § 103***

18. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

19. Claims 3-8, 12-16, 19-24, 28-32, 35-40, and 44-48 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mowery et al. (U.S. 5,983,198).

20. As per claim 3, Mowery et al. teaches the method wherein the step of calculating the capacity utilization comprises the step of calculating said respective workload value, wherein said respective workload value analyzes the last workload and the number of filled slots of the delivery versus the zip group maximum (See figure 5, column 3, lines 35-50, column 4, lines 12-

45 and 56-61, column 5, lines 30-35, 47-50, and 60-65, column 6, lines 1-13 and 20-36, column 7, lines 15-33, and column 9, lines 1-13, wherein the central system runs analysis on the data to calculate the workload utilization of the plant. The capacity usage of a plant is analyzed to determine the workload of the plant. An analysis is run by the central system to determine the patterns in a plant's workload which looks at the last workloads of a previous period and the current amount put in the tank in the current period (the fraction of the tank filled on the current delivery)). However, Mowery et al. does not expressly disclose that the relationship of the workload value is represented by the specific formula of workload value = (last workload + (number of filled slots) / (zip group maximum)).

Mowery et al. presents an algorithm that is used to determine the workload of a plant. Representing functional relationships in equation form is old and well known in the art. It would have been obvious to one of ordinary skill in the art at the time of the invention to represent this functional relationship in equation form in order to more accurately represent the functional relationship so that it is easier to comprehend and use by others.

21. As per claim 4, Mowery et al. discloses the method further comprising the step of setting a respective capacity signal when an over capacity condition and an under capacity condition has been detected (See figures 4 and 5, column 3, lines 50-54, column 4, lines 1-3 and 18-38, column 7, lines 34-43, column 8, lines 61-67, and column 9, lines 1-13, which discusses capacity signals when an over capacity or under capacity situation has been detected).

22. As per claim 5, Mowery et al. teaches the method comprising the step of setting a respective over capacity flag after determining that the sum of a set of said preselected workload values are greater than a predetermined over capacity value over a historical time period (See

column 2, lines 40-51, column 3, lines 50-55, column 4, lines 1-3 and 33-45, column 5, lines 36-55 and 60-65, column 6, lines 1-13 and 18-37, column 8, lines 61-67, and column 9, lines 1-7, wherein workload data is stored and obtained for a predetermined period of time. See figures 4 and 5, column 3, lines 50-54, column 4, lines 1-3 and 18-38, column 7, lines 34-43, column 8, lines 61-67, and column 9, lines 1-13, which discusses capacity signals (flags) when an over capacity situation has been detected in the workload (usage) historical data. Deliveries cannot be made when the signal indicates the delivery zone as over capacity).

23. As per claim 6, Mowery et al. teaches the method wherein the preselected overcapacity values are set for the delivery zone/capacity matrix and wherein said historical period is the previous preset period and wherein the over capacity value is a workload greater than or equal to 100 percent (See column 2, lines 40-51, column 3, lines 50-55, column 4, lines 1-3 and 33-45, column 5, lines 36-55 and 60-65, column 6, lines 1-13 and 18-37, column 8, lines 61-67, and column 9, lines 1-7, wherein workload data is stored and obtained for a predetermined period of time. See column 8, lines 23-40, wherein the past trend information is used to show patterns. See figures 4 and 5, column 3, lines 50-54, column 4, lines 1-3 and 18-38, column 7, lines 34-43, column 8, lines 61-67, and column 9, lines 1-13, which discusses capacity signals (flags) when an over capacity situation has been detected in the workload (usage) historical data. Figure 5 indicates that the workload value of over 100 percent is considered over capacity).

However, Mowery et al. does not expressly disclose that the predetermined over capacity value for the sum of selected designated days is about 700 percent or that the historical period is the previous ten days.

Mowery discusses using historic workload data for a preset period to identify patterns that are employed when making decisions concerning scheduling goods deliveries, as stated in column 8, lines 24-40. Furthermore, Mowery discloses that over capacity is considered over 100 percent, as shown in figure 5, and identifying spikes in the workload usage during preset time periods, as stated in column 6, lines 20-30. It would have been obvious to one of ordinary skill in the art at the time of the invention to choose 10 days as the preset period and to set an overcapacity sum for this time period in order to more accurately optimize the goods delivery by identifying overcapacity trends in data that are not economically beneficial to the supplier or customer. A capacity value sum of over 700 percent for 10 days would indicate that an overcapacity value occurred multiple times during the period, thus showing a bad pattern for the historic period.

24. As per claim 7, Mowery et al. teaches the method comprising the step of setting a respective under capacity flag after determining that said set of preselected workload values are each less than a predetermined under capacity value over a historical period (See column 2, lines 40-51, column 3, lines 50-55, column 4, lines 1-3 and 33-45, column 5, lines 36-55 and 60-65, column 6, lines 1-13 and 18-37, column 8, lines 61-67, and column 9, lines 1-7, wherein workload data is stored and obtained for a predetermined period of time. See figures 4 and 5, column 3, lines 50-54, column 4, lines 1-3 and 18-38, column 7, lines 34-43, column 8, lines 61-67, and column 9, lines 1-13, which discusses capacity signals (flags) when an under capacity situation has been detected in the workload (usage) historical data. Deliveries should be made when the signal indicates the delivery zone as under capacity).

25. As per claim 8, Mowery et al. discloses the method wherein a preselected workload value is set for the delivery zone/capacity matrix and wherein said historical period is the previous preset period (See figures 4 and 5, column 3, lines 50-54, column 4, lines 1-3 and 18-38, column 7, lines 34-43, column 8, lines 61-67, and column 9, lines 1-13, which discusses setting workload values for the capacity matrix/delivery zone that identify the workload as at a minimum/under capacity. See figure 5, column 2, lines 53-57, column 5, lines 10-16, 30-55, and 60-67, column 6, lines 1-7 and 20-25, column 7, lines 34-44, which teaches looking at used slot (levels) information about a specified period of days. Figure 5 shows 3 months worth of data). However, Mowery et al does not expressly disclose that the preselected workload value is less than about 50 percent and wherein the historical period is ten days.

Mowery et al. teaches that the customer is allowed to specify the minimum levels and historical changes acceptable to them, as stated in column 8, lines 24-40. It would have been obvious to one of ordinary skill in the art at the time of the invention to allow a customer to chose a workload value of less than 50 percent and a historic period of ten days in order to make the system more user friendly and adaptable to the specific needs of the user.

26. As per claim 12, Mowery et al. discloses the method wherein the step of predicting future capacity utilization further comprises the step of determining that the trend line of the capacity utilization for a first fixed period of workload values and that the trend line indicates the usage is changing (See column 8, lines 30-40, which discuss looking at trends in the data through analysis, this analysis indicating an increase in the pattern of the historical data. See column 5, lines 35-55 and 60-55, and column 6, lines 1-10 and 18-36, which discuss predicting whether the trend line of the capacity usage of a plant is changing looking at historical usage data during a

fixed time period). However, Mowery et al. does not expressly disclose that the usage is increasing when the slope of the regression line for the period is greater than zero within a predetermined confidence interval.

Mowery et al. discusses using forecasting techniques to predict capacity utilization by looking at trends in past usage data to identify increases, as stated in column 8, lines 30-40. It is old and well known that a slope greater than zero indicates that a trend line is increasing in value. It is also old and well known in statistics to use confidence intervals when sampling populations of data. It would have been obvious to one of ordinary skill in the art at the time of the invention to utilize these old and well-known techniques to analyze the utilization trends in order to more accurately predict usage needs, thereby optimizing delivery schedules, minimizing supplier costs, and meeting customer needs, as stated in column 2, lines 20-25 and 30-33, column 5, lines 51-59, and column 8, lines 24-40.

27. As per claim 13, Mowery et al. discloses the method wherein the step of predicting future capacity utilization further comprises the step of determining that the trend line of the capacity utilization for a first fixed period of workload values and that the trend line indicates the usage is changing (See column 8, lines 30-40, which discuss looking at trends in the data through analysis, this analysis indicating an decrease in the pattern of the historical data. See column 5, lines 35-55 and 60-55, and column 6, lines 1-10 and 18-36, which discuss predicting whether the trend line of the capacity usage of a plant is changing looking at historical usage data during a fixed time period). However, Mowery et al. does not expressly disclose that the usage is decreasing when the slope of the regression line for the period is less than zero within a predetermined confidence interval.

Mowery et al. discusses using forecasting techniques to predict capacity utilization by looking at trends in past usage data to determine a decrease, as stated in column 8, lines 30-40. It is old and well known that a slope less than zero indicates that a trend line is decreasing in value. It is also old and well known in statistics to use confidence intervals when sampling populations of data. It would have been obvious to one of ordinary skill in the art at the time of the invention to utilize these old and well-known techniques to analyze the utilization trends in order to more accurately predict usage needs, thereby optimizing delivery schedules, minimizing supplier costs, and meeting customer needs, as stated in column 2, lines 20-25 and 30-33, column 5, lines 51-59, and column 8, lines 24-40.

28. As per claim 14, Mowery et al. discloses the method wherein said first fixed period is seven days (See column 6, lines 1-10 and 19-25, wherein the first fixed period is seven days). However, Mowery et al. does not expressly disclose a confidence interval and that the confidence interval is about 95 percent.

Mowery et al. discusses using forecasting techniques to predict capacity utilization by looking at trends in past usage data. It is old and well known in statistics to use confidence intervals when sampling graphed populations of data. Furthermore, using a confidence interval of about 95 percent is a statistical standard. It would have been obvious to one of ordinary skill in the art at the time of the invention to utilize confidence intervals when analyzing the utilization trends in order to more accurately predict usage needs, thereby optimizing delivery schedules and minimizing supplier costs, as stated in column 2, lines 20-25 and 30-33, and column 5, lines 51-59.

29. As per claim 15, Mowery et al. discloses the method wherein said first fixed period is seven days (See column 6, lines 1-10 and 19-25, wherein the first fixed period is seven days). However, Mowery et al. does not expressly disclose a confidence interval and that the confidence interval is about 95 percent.

Mowery et al. discusses using forecasting techniques to predict capacity utilization by looking at trends in past usage data. It is old and well known in statistics to use confidence intervals when sampling graphed populations of data. Furthermore, using a confidence interval of about 95 percent is a statistical standard. It would have been obvious to one of ordinary skill in the art at the time of the invention to utilize confidence intervals when analyzing the utilization trends in order to more accurately predict usage needs, thereby optimizing delivery schedules and minimizing supplier costs, as stated in column 2, lines 20-25 and 30-33, and column 5, lines 51-59.

30. As per claim 16, Mowery et al. teaches the method wherein said specified period of time is a preset number of days (See figure 5, column 2, lines 53-57, column 5, lines 10-16, 30-55, and 60-67, column 6, lines 1-7 and 20-25, column 7, lines 34-44, which teaches looking at used slot (levels) information about a specified period of days. Figure 5 shows 3 months worth of data). However. Mowery et al. does not expressly disclose that the preset number of days is thirty days.

Mowery et al. discusses using forecasting techniques to predict capacity utilization by looking at trends in preset time period's usage data. It would have been obvious to one of ordinary skill in the art at the time of the invention to specify a specific number of days, such as one month/thirty days, in order to in order to more accurately predict the plant's current usage

needs, thereby optimizing delivery schedules and minimizing supplier costs, as stated in column 2, lines 20-25 and 30-33, and column 5, lines 51-59.

31. As per claims 19-24 and 28-32, claims 19-23 and 28-32 are computer process implementations of the method of claims 3-8 and 12-16, respectively. Therefore, claims 19-24 and 28-32 are rejected using the same art relied upon in the rejection of claims 3-8 and 12-16, respectively.

32. As per claims 35-40, claims 35-40 are method claims directed towards the method recited in claims 3-8. Therefore, claims 35-40 are rejected using the same art relied upon in the rejection of claims 3-8, respectively.

33. As per claims 44-48, claims 44-48 are method claims directed towards the method recited in claims 12-16, respectively. Therefore, claims 44-48 are rejected using the same art relied upon in the rejection of claims 12-16, respectively.

### *Conclusion*

34. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event,

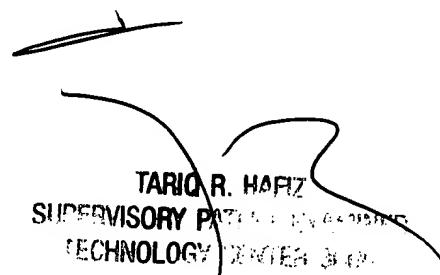
however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Beth Van Doren whose telephone number is (703) 305-3882. The examiner can normally be reached on M-F, 8:30-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tariq Hafiz can be reached on (703) 305-9643. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 305-7687 for regular communications and (703) 305-7687 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-1113.

*ewd*  
bvd  
June 16, 2003

  
TARIQ R. HAFIZ  
SUPERVISORY PATENT EXAMINER  
(TECHNOLOGY)  
JUN 16 2003